

Substitute for form 1449-PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/989,583
Filing Date	November 20, 2001
First Named Inventor	Stephen Todd
Art Unit	2152
Examiner Name	Victor D. Lesniewski
Attorney Docket Number	EMC-033PUS

Sheet 1 of 2

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	US-	20030135385	07/17/2003	Karpoff	
	US-	5,835,724	11/10/1998	Smith	
	US-	6,829,685	12/07/2004	Neal et al.	
	US-	20020112113	08/15/2002	Karpoff et al.	
	US-	6,826,580	11/30/2004	Harris et al.	
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	US-	6,615,258	09/02/2003	Barry et al.	
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	US-	6,345,288	02/05/2002	Reed et al.	
	US-	5,918,229	06/29/1999	Davis et al.	
	US-	5,978,577	11/02/1999	Rierden et al.	
	US-	6,430,611	08/06/2002	Kita et al.	
	US-	20020095547	07/18/2002	Watanabe et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	1*
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Examiner Signature	Date Considered
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OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"HighGround SRM Enterprise Edition 4.0", System News, Article #3577, Vol. 38, Issue 3, 8 pages, April 16, 2001.	
		"New SRM 3.0 Enterprise Edition Now Downloadable", Sunbelt W2Knews Electronic Newsletter, 7 pages, November 5, 1998.	
		"Storage Management Best Practices with Sun HighGround Storage Resource Manager Software", Sun Microsystems White Paper, 18 pages, July 2001.	

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